

# 15-462 Computer Graphics I

## Lecture 4

# Transformations

January 23, 2003

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<http://www.cs.cmu.edu/~fp/courses/graphics/>

Vector Spaces  
Affine and Euclidean Spaces  
Frames  
Homogeneous Coordinates  
Transformation Matrices  
[Angel, Ch. 4]

# Geometric Objects and Operations

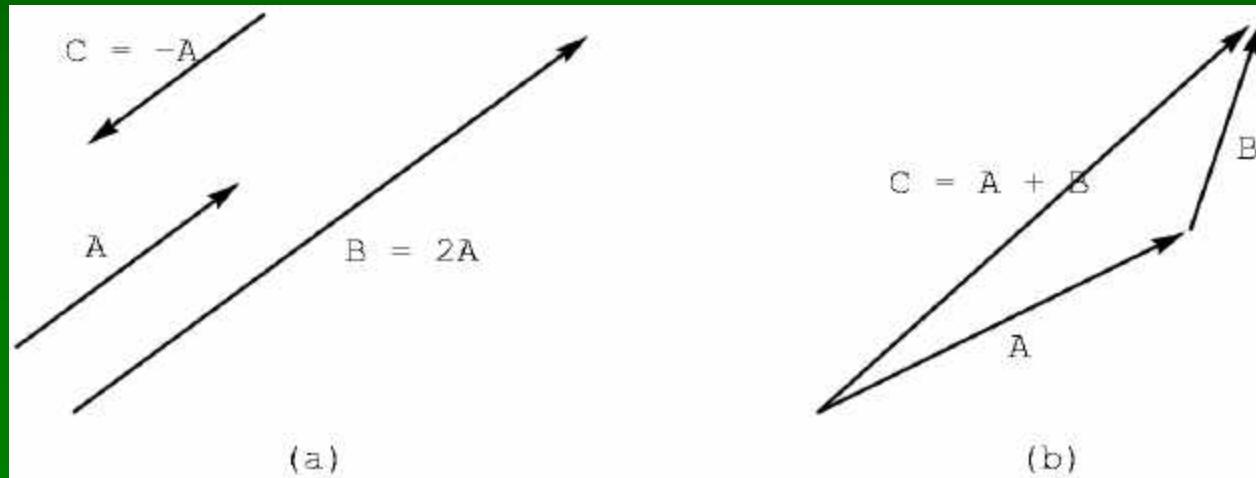
- Primitive types: scalars, vectors, points
- Primitive operations: dot product, cross product
- Representations: coordinate systems, frames
- Implementations: matrices, homogeneous coor.
- Transformations: rotation, scaling, translation
- Composition of transformations
- OpenGL transformation matrices

# Scalars

- Scalars  $\alpha, \beta, \gamma$  from *scalar field*
- Operations  $\alpha + \beta, \alpha \cdot \beta, 0, 1, -\alpha, (\ )^{-1}$
- “Expected” laws apply
- Examples: rationals or reals with addition and multiplication

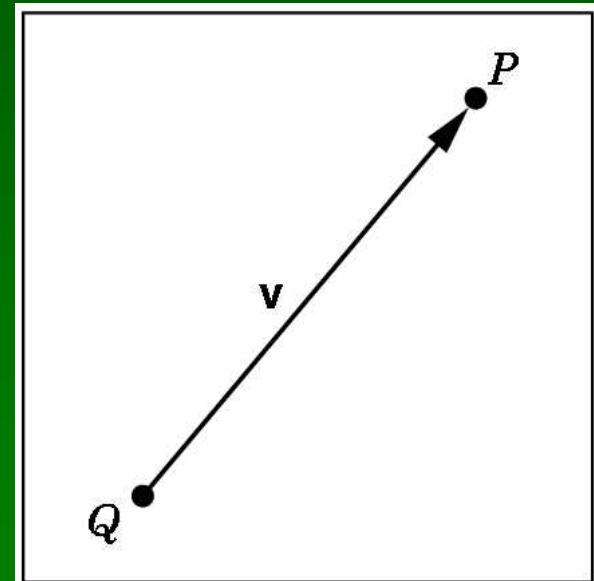
# Vectors

- Vectors  $u, v, w$  from *vector space*
- Includes scalar field
- Vector addition  $u + v$
- Zero vector  $0$
- Scalar multiplication  $\alpha v$



# Points

- Points  $P, Q, R$  from *affine space*
- Includes vector space
- Point-point subtraction  $v = P - Q$
- Define also  $P = v + Q$



# Euclidean Space

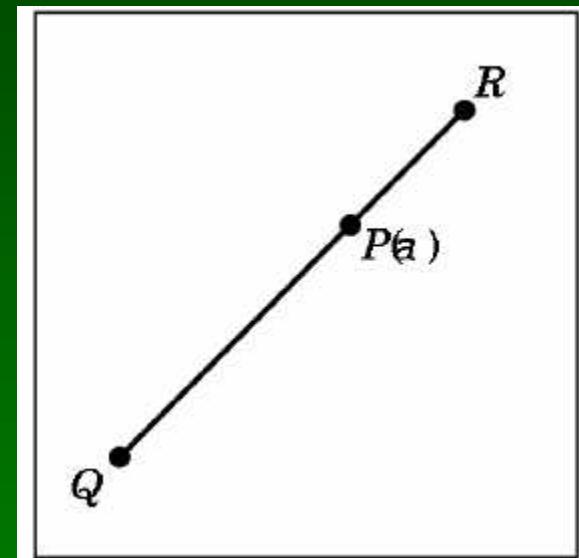
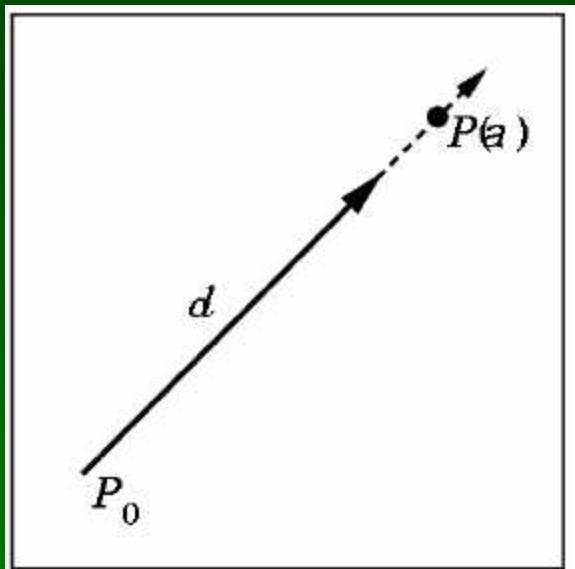
- Assume vector space over real numbers
- Dot product:  $\alpha = u \cdot v$
- $0 \cdot 0 = 0$
- $u, v$  are *orthogonal* if  $u \cdot v = 0$
- $|v|^2 = v \cdot v$  defines  $|v|$ , the *length* of  $v$
- Generally work in an affine Euclidean space

# Geometric Interpretations

- Lines and line segments
- Convexity
- Dot product and projections
- Cross product and normal vectors
- Planes

# Lines and Line Segments

- Parametric form of line:  $P(\alpha) = P_0 + \alpha d$



- Line segment between  $Q$  and  $R$ :

$$P(\alpha) = (1-\alpha) Q + \alpha R \text{ for } 0 \leq \alpha \leq 1$$

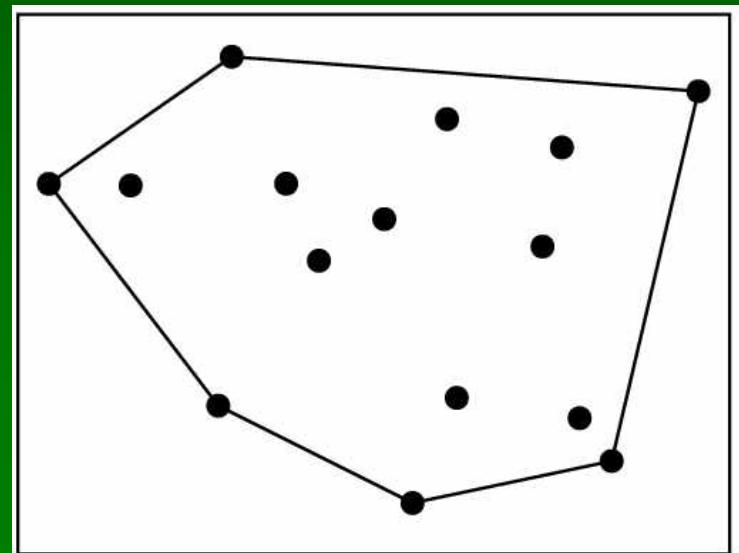
# Convex Hull

- Convex hull defined by

$$P = \alpha_1 P_1 + \dots + \alpha_n P_n$$

$$\text{for } \alpha_1 + \dots + \alpha_n = 1$$

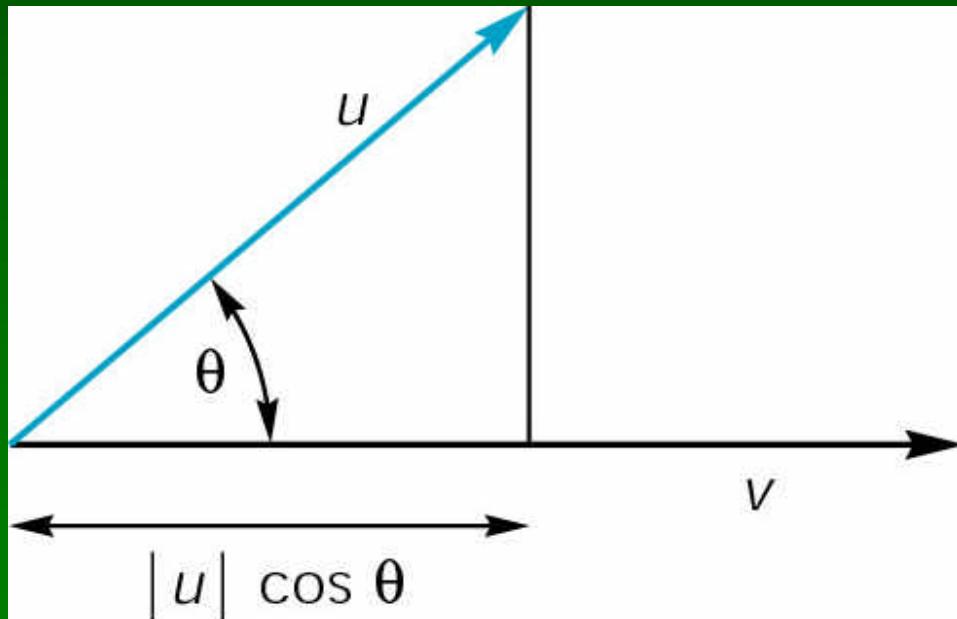
$$\text{and } 0 \leq \alpha_i \leq 1, i = 1, \dots, n$$



# Projection

- Dot product projects one vector onto other

$$u \cdot v = |u| |v| \cos(\theta)$$



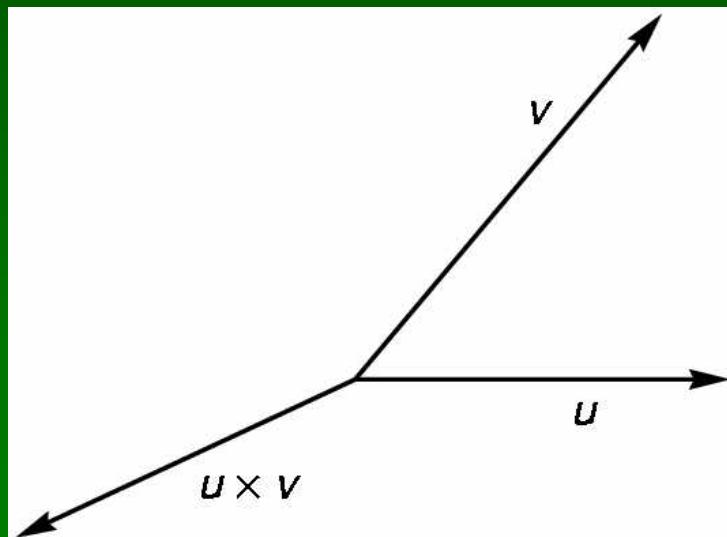
# Normal Vector

- Cross product defines normal vector

$$\mathbf{u} \times \mathbf{v} = \mathbf{n}$$

$$|\mathbf{u} \times \mathbf{v}| = |\mathbf{u}| |\mathbf{v}| |\sin(\theta)|$$

- Right-hand rule



# Plane

- Plane defined by point  $P_0$  and vectors  $u$  and  $v$
- $u$  and  $v$  cannot be parallel
- Parametric form:  $T(\alpha, \beta) = P_0 + \alpha u + \beta v$
- Let  $n = u \times v$  be the normal
- Then  $n \cdot (P - P_0) = 0$  iff  $P$  lies in plane

# Outline

- Vector Spaces
- Affine and Euclidean Spaces
- Frames
- Homogeneous Coordinates
- Transformation Matrices
- OpenGL Transformation Matrices

# Coordinate Systems

- Let  $v_1, v_2, v_3$  be three linearly independent vectors in a 3-dimensional vector space

- Can write any vector  $w$  as

$$w = \alpha_1 v_1 + \alpha_2 v_2 + \alpha_3 v_3$$

for scalars  $\alpha_1, \alpha_2, \alpha_3$

- In matrix notation:

$$\mathbf{a} = \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{bmatrix}$$

# Frames

- Frame = coordinate system + origin  $P_0$
- Any point  $P = P_0 + \alpha_1 v_1 + \alpha_2 v_2 + \alpha_3 v_3$
- Useful in with homogenous coordinates

# Changes of Coordinate System

- Bases  $\{u_1, u_2, u_3\}$  and  $\{v_1, v_2, v_3\}$
- Express basis vectors  $u_i$  in terms of  $v_j$

$$\begin{aligned}u_1 &= \gamma_{11}v_1 + \gamma_{12}v_2 + \gamma_{13}v_3 \\u_2 &= \gamma_{21}v_1 + \gamma_{22}v_2 + \gamma_{23}v_3 \\u_3 &= \gamma_{31}v_1 + \gamma_{32}v_2 + \gamma_{33}v_3\end{aligned}$$

- Represent in matrix form

$$\begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = M \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} \quad \text{for} \quad M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} \end{bmatrix}$$

# Map to Representations

- $w = \alpha_1 v_1 + \alpha_2 v_2 + \alpha_3 v_3$ ,  $\mathbf{a}^T = [\alpha_1 \alpha_2 \alpha_3]$
- $w = \beta_1 u_1 + \beta_2 u_2 + \beta_3 u_3$ ,  $\mathbf{b}^T = [\beta_1 \beta_2 \beta_3]$

$$\mathbf{a}^T \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix} = w = \mathbf{b}^T \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = \mathbf{b}^T \mathbf{M} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}$$

- So  $\mathbf{a} = \mathbf{M}^T \mathbf{b}$  and  $\mathbf{b} = (\mathbf{M}^T)^{-1} \mathbf{a}$
- Suffices for rotation and scaling, not translation

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# Linear Transformations

- $3 \times 3$  matrices represent linear transformations  
 $\mathbf{a} = \mathbf{M} \mathbf{b}$
- Can represent rotation, scaling, and reflection
- Cannot represent translation
- $\mathbf{a}$  and  $\mathbf{b}$  represent vectors, not points

$$w = \mathbf{a}^T \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}$$

# Homogeneous Coordinates

- In affine space,  $P = \alpha_1 v_1 + \alpha_2 v_2 + \alpha_3 v_3 + P_0$
- Define  $0 \cdot P = 0$ ,  $1 \cdot P = P$
- Then

$$P = [\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad 1] \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ P_0 \end{bmatrix}$$

- Point  $\mathbf{p} = [\alpha_1 \ \alpha_2 \ \alpha_3 \ 1]^T$
- Vector  $\mathbf{w} = \delta_1 v_1 + \delta_2 v_2 + \delta_3 v_3$
- Homogeneous coords:  $\mathbf{a} = [\delta_1 \ \delta_2 \ \delta_3 \ 0]^T$

# Translation of Frame

- Express frame  $(u_1, u_2, u_3, P_0)$  in  $(v_1, v_2, v_3, Q_0)$

$$\begin{aligned}u_1 &= \gamma_{11}v_1 + \gamma_{12}v_2 + \gamma_{13}v_3 \\u_2 &= \gamma_{21}v_1 + \gamma_{22}v_2 + \gamma_{23}v_3 \\u_3 &= \gamma_{31}v_1 + \gamma_{32}v_2 + \gamma_{33}v_3 \\Q_0 &= \gamma_{41}v_1 + \gamma_{42}v_2 + \gamma_{43}v_3 + P_0\end{aligned}$$

- Then

$$\begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ Q_0 \end{bmatrix} = M \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ P_0 \end{bmatrix} \text{ for } M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} & 0 \\ \gamma_{21} & \gamma_{22} & \gamma_{23} & 0 \\ \gamma_{31} & \gamma_{32} & \gamma_{33} & 0 \\ \gamma_{41} & \gamma_{42} & \gamma_{43} & 1 \end{bmatrix}$$

# Homogeneous Coordinates Summary

- Points  $[\alpha_1 \ \alpha_2 \ \alpha_3 \ 1]^T$
- Vectors  $[\delta_1 \ \delta_2 \ \delta_3 \ 0]^T$
- Change of frame

$$M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} & 0 \\ \gamma_{21} & \gamma_{22} & \gamma_{23} & 0 \\ \gamma_{31} & \gamma_{32} & \gamma_{33} & 0 \\ \gamma_{41} & \gamma_{42} & \gamma_{43} & 1 \end{bmatrix}$$

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# Affine Transformations

- Translation
- Rotation
- Scaling
- Any composition of the above
- Express in homogeneous coordinates
- Need  $4 \times 4$  matrices
- Later: projective transformations
- Also expressible as  $4 \times 4$  matrices!

# Translation

- $\mathbf{p}' = \mathbf{p} + \mathbf{d}$  where  $\mathbf{d} = [\alpha_x \ \alpha_y \ \alpha_z \ 0]^T$
- $\mathbf{p} = [x \ y \ z \ 1]^T$
- $\mathbf{p}' = [x' \ y' \ z' \ 1]^T$
- $x' = x + \alpha_x, y' = y + \alpha_y, z' = z + \alpha_z$
- Express in matrix form  $\mathbf{p}' = \mathbf{T} \mathbf{p}$  and solve for  $\mathbf{T}$

$$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & \alpha_x \\ 0 & 1 & 0 & \alpha_y \\ 0 & 0 & 1 & \alpha_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Scaling

- $x' = \beta_x x$
- $y' = \beta_y y$
- $z' = \beta_z z$
- Express as  $\mathbf{p}' = \mathbf{S} \mathbf{p}$  and solve for  $\mathbf{S}$

$$\mathbf{S} = \begin{bmatrix} \beta_x & 0 & 0 & 0 \\ 0 & \beta_y & 0 & 0 \\ 0 & 0 & \beta_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Rotation in 2 Dimensions

- Rotation by  $\theta$  about the origin
- $x' = x \cos \theta - y \sin \theta$
- $y' = x \sin \theta + y \cos \theta$
- Express in matrix form

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

- Note determinant is 1

# Rotation in 3 Dimensions

- Decompose into rotations about x, y, z axes

$$R_z = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_x = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

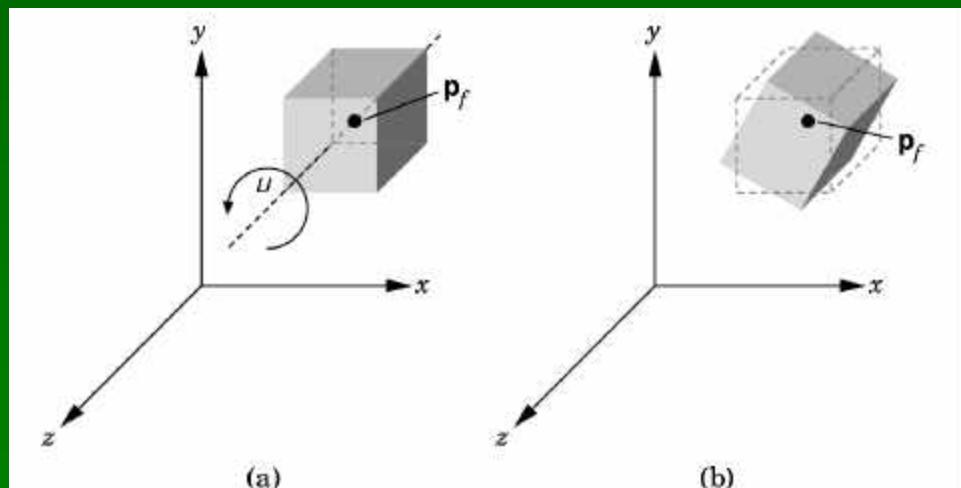
# Compose by Matrix Multiplication

- $\mathbf{R} = \mathbf{R}_z \mathbf{R}_y \mathbf{R}_x$
- Applied from right to left
- $\mathbf{R} \mathbf{p} = (\mathbf{R}_z \mathbf{R}_y \mathbf{R}_x) \mathbf{p} = \mathbf{R}_z (\mathbf{R}_y (\mathbf{R}_x \mathbf{p}))$
- “Postmultiplication” in OpenGL

# Rotation About a Fixed Point

- First, translate to the origin
- Second, rotate about the origin
- Third, translate back
- To rotate by  $\theta$  about z around  $\mathbf{p}_f$

$$\mathbf{M} = \mathbf{T}(\mathbf{p}_f) \mathbf{R}_z(\theta) \mathbf{T}(-\mathbf{p}_f) = \dots$$



# Deriving Transformation Matrices

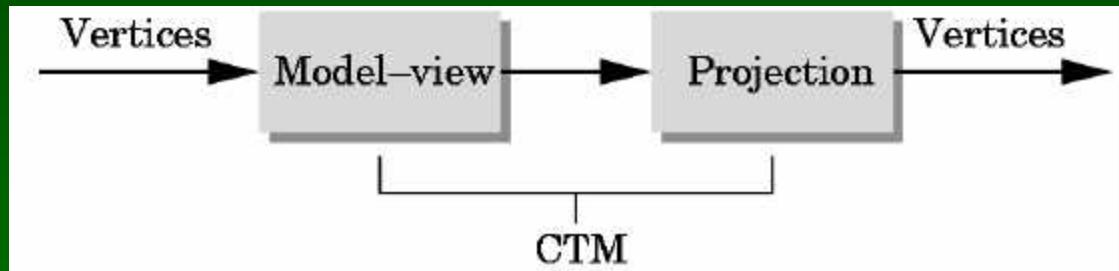
- Other examples: see [Angel, Ch. 4.8]
- See also Assignment 2 when it is out
- Hint: manipulate matrices, but remember geometric intuition

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# Current Transformation Matrix

- Model-view matrix (usually affine)
- Projection matrix (usually not affine)



- Manipulated separately

```
glMatrixMode(GL_MODELVIEW);  
glMatrixMode(GL_PROJECTION);
```

# Manipulating the Current Matrix

- Load or postmultiply

```
glLoadIdentity();  
glLoadMatrixf(*m);  
glMultMatrixf(*m);
```

- Library functions to compute matrices

```
glTranslatef(dx, dy, dz);  
glRotatef(angle, vx, vy, vz);  
glScalef(sx, sy, sz);
```

- Recall: last transformation is applied first!

# Summary

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# OpenGL Tutors by Nate Robins

- Run under Windows
- Available at  
<http://www.xmission.com/~nate/tutors.html>
- Example: [Transformation tutor](#)