# 15-853: Algorithms in the Real World

String Searching I

- Tries, Patricia trees
- Suffix trees

15-853 Page 1

# Exact string searching

Given a text T of length n and pattern P of length m "Quickly" find an occurrence (or all occurrences) of P in T

A Naïve solution:

Compare P with T[i...i+m] for all i --- O(nm) time

How about O(n+m) time? (Knuth Morris Pratt)
How about O(n) preprocessing time and
O(m) search time?

15-853 Page 2

#### Notation:

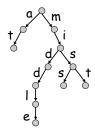
Capital letters for strings: A, B, S

Lower case letters for characters: a, b, c, x, y, ...

15-853 Page 3

# TRIEs

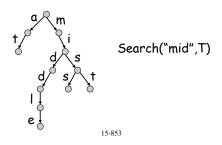
Dictionary = {at, middle, miss, mist}



15-853

# TRIEs (searching)

Consider an alphabet  $\sum$ , with  $|\sum| = k$ Assume a total of n nodes in the trie. Consider searching a string of length m to see if it is a **prefix** of an element in the dictionary.



# TRIEs (searching)

Consider an alphabet  $\Sigma$ , with  $|\Sigma| = k$ 

Total of n nodes in trie.

Consider searching a string of length m to see if it is a **prefix** of an element in the dictionary.

Implementation choices:

- Array per node: O(nk) space, O(m) time search
- Tree per node: O(n) space, O(m log k) time search
- Hash children: O(n) space, O(m) time can hash node pointer and child character

e 
$$\begin{array}{c} 22 \\ 73 \end{array}$$
 Table.Lookup((22,e)) = 73

# PATRICIA Trees

PATRICIA: Practical Algorithm to Retrieve Information Coded in Alphanumeric (1968) Also called radix trees or compressed TRIEs All nodes with single child are collapsed.

Dictionary = {at, middle, miss, mist}

Takes less space in practice

15-853

Page 7

Page 5

# Insertion

Inserting String S into a PATRICIA tree

- Find longest common prefix
- Split edge if needed
- Add suffix

Insert("mote",T)

at m ote

Takes O(|S|) time

15-853

# Using Suffixes

If we want to search for any substring within a string we can store all suffixes of the string in a TRIE or PATRICIA tree.

S = mississippi

Dictionary =

{mississippi, ississippi, ssissippi, sissippi, issippi,
ssippi, sippi, ippi, ppi, pi, i}

Typically use special character (\$) at the end of a string to make sure every entry has its own leaf

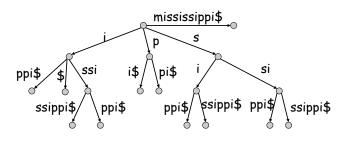
15-853 Page 9

# Suffix Trees Patricia tree on all suffixes of a string. S = "mississippi\$" mississippi\$ ppi\$ \$ si ppi\$ \$

15-853 Page 10

# Suffix Tree Space

How do we store a suffix tree in O(n) space?



15-853 Page 11

# Suffix Tree Construction

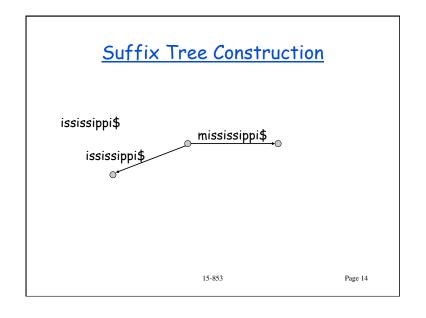
Simple algorithm:

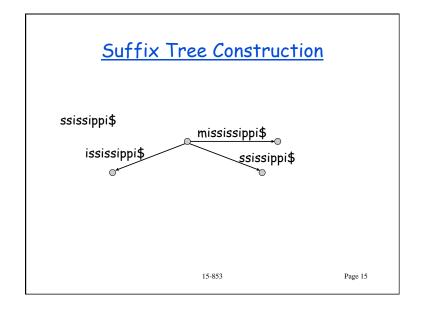
T = empty for i = 1 to n insert(S[i:n],T)

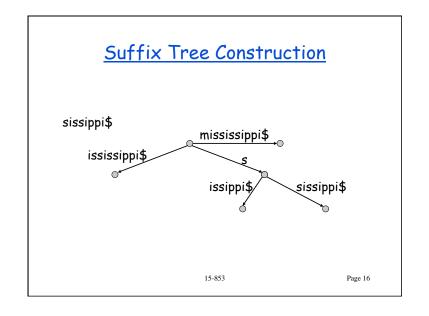
Takes  $O(n^2)$  time.

15-853 Page 12

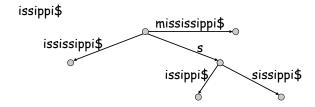
# Suffix Tree Construction mississippi\$ mississippi\$ 15-853 Page 13







# Suffix Tree Construction



When we look up "issi" can we make looking up "ssi" for the next step cheaper?

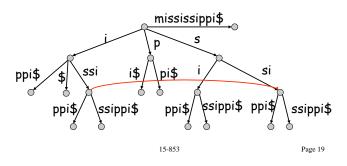
15-853 Page 17

# issippi\$ issisppi\$ when we previously "looked up" "issi" didn't we then also look up "ssi", "s" on later steps 15-853 Page 18

# Suffix Links

For every internal node for a string "aS", keep a pointer to the node for "S"  $\,$ 

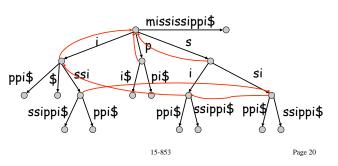
Why must it exist?



# Suffix Links

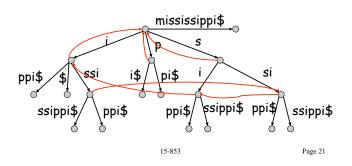
For every internal node for a string "aS", keep a pointer to the node for "S"

Why must it exist?

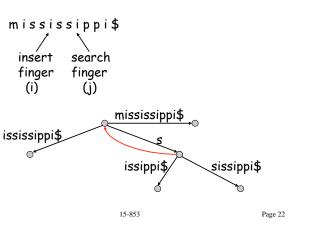


# Suffix Links

Now if I have found "issi" finding "ssi" is easy, and then finding "si".



# Suffix Tree Construction



# Suffix Tree Construction

mississippi\$
t t
i i

#### Algorithm:

Repeat from i = 1 until i == n

- Search from S[i:j-1] incrementing j until no match. i.e. found S[i:j-1] in tree but not S[i:j]
- 2. If search is in the middle of an edge:

  Then split edge at S[i:j-1] and add suffix S[j:n]

  Else add new child to S[i:j-1] with suffix S[j:n]
- Use parent's suffix link to find S[i+1:j-1] and split edge here if not already split.
- 4. If split edge in 2, add suffix link from S[i:j-1] to S[i+1:j-1]
- 5. i = i + 1

15-853

Page 23

# Almost Correct Analysis

Each increment of j takes O(1) time

- Just search one more character

Each increment of i takes O(1) time

- Just follow suffix link

Total time is O(n) since i and j are each incremented O(n) times.

15-853

What is wrong?

# Suffix Tree Construction

mississippi\$

† †

i j

#### Algorithm:

Repeat from i = 1 until i == n

- Search from S[i:j-1] incrementing j until no match.
   i.e. found S[i:j-1] in tree but not S[i:j]
- If search is in the middle of an edge:
   Then split edge at S[i:j-1] and add suffix S[j:n]
   Else add new child to S[i:j-1] with suffix S[j:n]
- 3. Use parent's suffix link to find S[i+1:j-1] and split edge here if not already split.
- 4. If split edge in 2, add suffix link from S[i:j-1] to S[i+1:j-1]
- 5. i = i + 1

15-853

Page 25

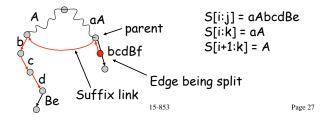
# Following Suffix Links

- 1. Go to parent of edge that is being split
  - S[i:k] for some  $i \le k < j$
- 2. Follow link to S[i+1:k]
- 3. Search down for S[i+1:j-1]
  - This step might not be O(1) time
- 4. Now k = j (charge searching to incrementing k)

Page 26

# Following Suffix Links

- 1. Go to parent of edge that is being split
  - S[i:k] for some  $i \le k \le j$
- 2. Follow link to S[i+1:k]
- 3. Search down for S[i+1:j-1]
  - This step might not be O(1) time

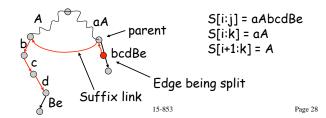


# Following Suffix Links

15-853

Note that searching edge Be to find B takes constant time even if B is long.

Why?



# The "Three Finger" Analysis



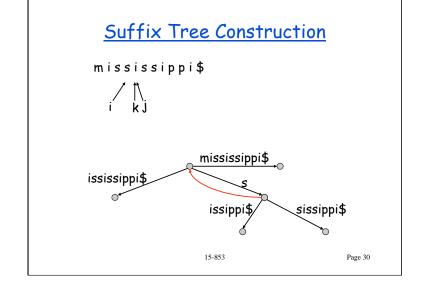
Note: there is no counter for k, it is the location of the next node up (inclusive) of S[i:j-1] in the search

Each increment of j takes O(1) time Following suffix link to increment i takes O(1) time Each "increment" of k to find S[i+1:j-1] takes O(1) time

TOTAL TIME = O(n)

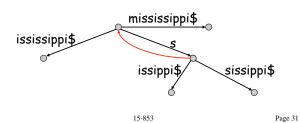
15-853

Page 29



# Suffix Tree Construction

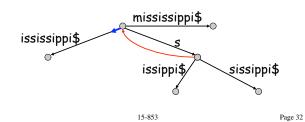
mississippi\$

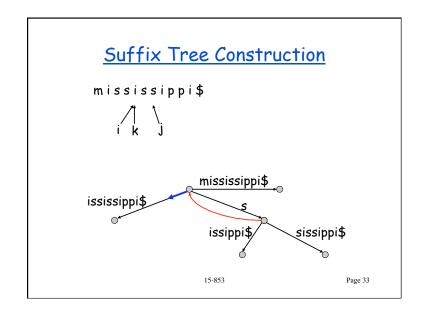


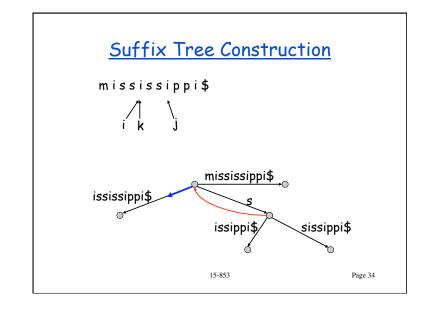
# Suffix Tree Construction

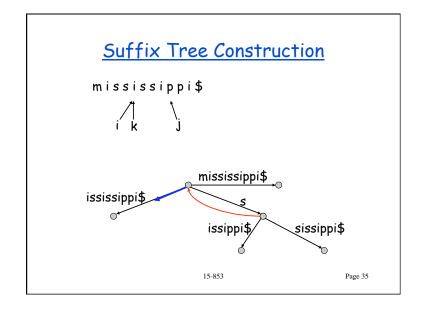
mississippi\$

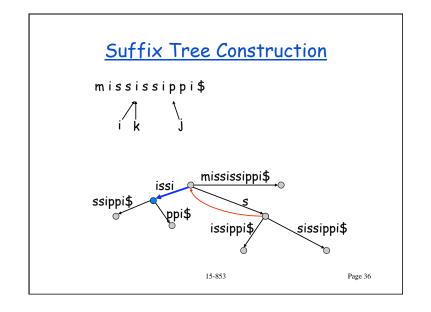


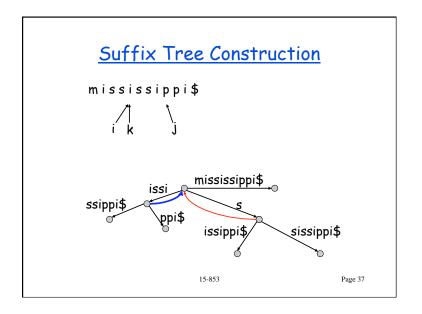


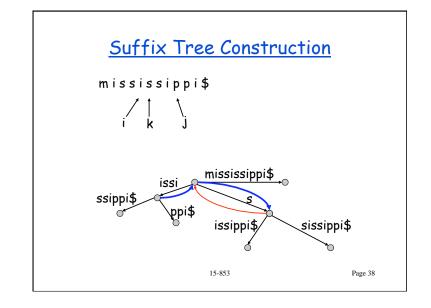


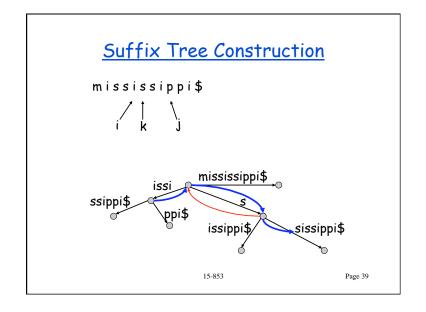


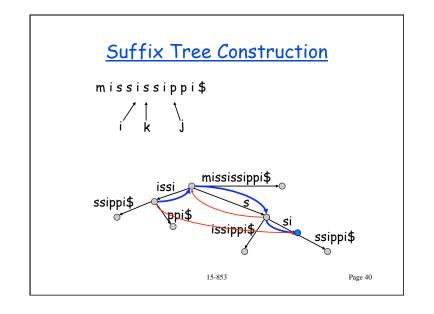






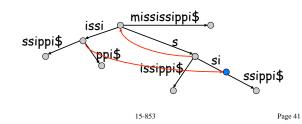






# Suffix Tree Construction

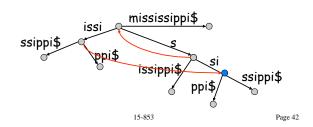
mississippi\$



# Suffix Tree Construction

mississippi\$





# Summary

Really the only change over the naïve  $O(n^2)$  algorithm is the use of suffix links to speed up search when inserting each suffix.

i.e. the key is linking S[i:j] to S[i+1:j] and just doing this for internal nodes in the tree is sufficient.

Suffix trees have many applications beyond string searching.

15-853 Page 43

# Extending to multiple lists

Suppose we want to match a pattern with a dictionary of k strings with a total length m.

Concatenate all the strings (interspersed with special characters) and construct a common suffix tree

Time taken = O(m + k)

Unnecessarily complicated tree; needs special characters

15-853

# Multiple lists - Better algorithm

First construct a suffix tree on the first string, then insert suffixes of the second string and so on Each leaf node should store values corresponding to each string

O(m) as before

15-853 Page 45

# Longest Common Substring

Find the longest string that is a substring of both  $S_1$  and  $S_2$ 

Construct a common suffix tree for both

Any node that has descendants labeled with  $S_1$  and  $S_2$  indicates a common substring

The "deepest" such node is the required substring

Can be found in linear time by a tree traversal.

Page 46

# Common substrings of M strings

Given M strings of total length n, find for every k, the length  $l_k$  of the longest string that is a substring of at least k of the strings

Construct a common suffix tree labeling each leaf with the string it came from

For every internal node, find the number of distinctly labeled decendants

Report I<sub>k</sub> by a single tree traversal

O(Mn) time - not linear!

15-853 Page 47

# Lempel-Ziv compression

15-853

Recall that at each stage, we output a pair  $(p_i, l_i)$  where  $S[p_i ... p_i + l_i] = S[i ... i + l_i]$ Find all pairs  $(p_i, l_i)$  in linear time

Construct a suffix tree for S

Label each internal node with the minimum of labels of all leaves below it – this is the first place in S where it occurs. Call this label  $c_{\rm v}$ .

For every i, search for the string S[i .. m] stopping just before  $c_{v_s}i$ . This gives us  $l_i$  and  $p_i$ .

15-853 Page 48